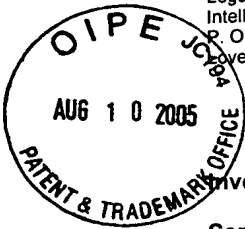


AGILENT TECHNOLOGIES, INC.  
Legal Department, DL429  
Intellectual Property Administration  
P. O. Box 7599  
Boulder, Colorado 80537-0599

ATTORNEY DOCKET NO. 10010462-1

2157  
en



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Semih Secer

Serial No.: 09/816,693

Examiner: Ramy M. Osman

Filing Date: Mar 22, 2001

Group Art Unit: 2157

Title: OBJECT-DRIVEN NETWORK MANAGEMENT SYSTEM ENABLING DYNAMICALLY DEFINABLE  
MANAGEMENT BEHAVIOR

COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- (a) ☐ Under 37 CFR 1.97(b).  
(Within three months of filing national application; or date of entry of national application; or before mailing date of first Office action on the merits; whichever occurs last).
- (b) ☒ Under 37 CFR 1.97(c) together with **either** a:  
☐ Statement under CFR 1.97(e), or  
☒ \$180.00 fee under 37 CFR 1.17(p).  
(After the CFR 1.97(b) time period, but before a final action or notice of allowance, whichever occurs first).
- (c) ☐ Under 37 CFR 1.97(d) together with: a  
Statement under 37 CFR 1.97(e), **and**  
\$180.00 fee as set forth in 37 CFR 1.17(p).  
(After a final action or notice of allowance, whichever occurs first, but before payment of the issue fee).

☐ STATEMENT UNDER 37 CFR 1.97(e)

The undersigned certifies that:

- ☐ Each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement, **or**
- ☐ No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, was known to any individual designated in 37 CFR § 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

☐ PRIOR APPLICATIONS

References identified with an asterisk (\*) in the enclosed PTO Form 1449, were disclosed in prior Patent Application No. \_\_\_\_\_, filed \_\_\_\_\_, now U.S. Patent No. \_\_\_\_\_, and, as such, copies thereof are not included pursuant to the provisions of 37 CFR 1.98(d).

☐ FOREIGN LANGUAGE DOCUMENTS

A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO form 1449, as presently understood by the individual(s) designated in 37 CFR 1.56(c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

☐ **FEE AUTHORIZATION**

Please charge to Deposit Account **50-1078** the sum of \$180.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account **50-1078** pursuant to 37 CFR 1.25.

I hereby certify that this correspondence is being deposited with the United States Postal Service as:

☒ First Class Mail

☐ "Express Mail Post office to Addressee" service under 37 CFR 1.10.

Express Mail Label No.

Date of Deposit: August 8, 2005

In an envelope addressed to: Commissioner for Patents,  
P.O. Box 1450, Alexandria VA 22313-1450.

OR

☐ I hereby certify that this paper is being facsimile transmitted to the Patent and Trademark Office on the date shown below.

Date of Facsimile:

Typed Name: Jacob N. Erlich

Signature: Jacob N. Erlich

Respectfully submitted,

Semih Secer

By

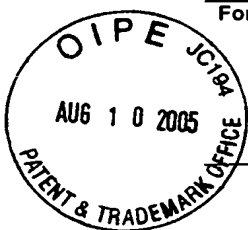
Jacob N. Erlich

Jacob N. Erlich  
Attorney/Agent for Applicant(s)

Reg. No. 24,338

Date: August 8, 2005

Telephone No. (617) 854-4000



Form PTO-1449	ATTORNEY DOCKET NO. 10010462-1	SERIAL NO. 09/816,693
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT  (Use several sheets if necessary)	APPLICANT Semih Secer	
	FILING DATE March 22, 2001	GROUP 2157

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	*	DOCUMENT NUMBER	DATE	NAME
		2004/0064541	April 1, 2004	Ebata et al.
		2003/0195957	October 16, 2003	Banginwar
		6,678,835	January 13, 2004	Shah et al.
		6,782,420	August 24, 2004	Barrett et al.
		6,671,724	December 30, 2003	Pandya et al.
		6,578,076	June 10, 2003	Putzolu
		6,477,572	November 5, 2002	Elderton et al.
		6,751,659	June 15, 2004	Fenger et al.
		6,664,978	December 16, 2003	Kekic et al.

## FOREIGN PATENT DOCUMENT

		DOCUMENT NUMBER	DATE	NAME	TRANSLATION YES NO	

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

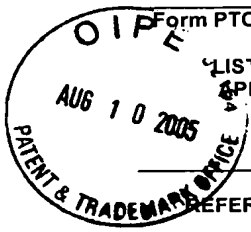
	Serial No. 09/469,025 filed December 21, 1999, System and Method for Policy-Based Network Management. Docket No. 50671-P010US-09903777. Inventor: Tentij.
	Serial No. 09/945,111 filed August 31, 2001, System and Method for Flexible Processing of Management Policies for Managing Network Elements. Docket No. 10010454-1. Inventor: Klein et al.
	Serial No. 09/945,112 filed August 31, 2001, User-Friendly System and Method for Defining Policies for Managing Network Elements. Docket No. 10010452-1. Inventor: Klein et al.

EXAMINER

DATE CONSIDERED

\* Copies of these references are not enclosed Pursuant to 37 CFR 1.98(d). (See accompanying IDS)

Page 1 of 2



Form PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT

(Use several sheets if necessary)

ATTORNEY DOCKET NO.

10010462-1

SERIAL NO.

09/816,693

APPLICANT

Semih Secer

FILING DATE

March 22, 2001

GROUP

2157

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	*	DOCUMENT NUMBER	DATE	NAME

## FOREIGN PATENT DOCUMENT

		DOCUMENT NUMBER	DATE	NAME	TRANSLATION YES NO	

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

		Serial No. 09/945,372 filed August 31, 2001, System and Method for Defining Management Policies for Managing Network Elements. Docket No. 10010453-1. Inventor: Klein et al.

EXAMINER

DATE CONSIDERED

\* Copies of these references are not enclosed Pursuant to 37 CFR 1.98(d). (See accompanying IDS)

Page 2 of 2